# ON $\phi$ -DEDEKIND RINGS AND $\phi$ -KRULL RINGS

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ABSTRACT. The purpose of this paper is to introduce two new classes of rings that are closely related to the classes of Dedekind domains and Krull domains. Let  $\mathcal{H} = \{R \mid R \text{ is a commutative ring with } 1 \neq 0 \text{ and } Nil(R) \text{ is a divided prime ideal of } R\}$ . Let  $R \in \mathcal{H}, T(R)$  be the total quotient ring of R, and set  $\phi: T(R) \longrightarrow R_{Nil(R)}$  such that  $\phi(a/b) = a/b$  for every  $a \in R$  and  $b \in R \setminus Z(R)$ . Then  $\phi$  is a ring homomorphism from T(R) into  $R_{Nil(R)}$ , and  $\phi$  restricted to R is also a ring homomorphism from R into  $R_{Nil(R)}$ , given by  $\phi(x) = x/1$  for every  $x \in R$ . A nonnil ideal I of R is said to be  $\phi$ -invertible if  $\phi(I)$  is an invertible ideal of  $\phi(R)$ . If every nonnil ideal of R is  $\phi$ -invertible, then we say that R is a  $\phi$ -Dedekind ring. Also, we say that R is a  $\phi$ -Krull ring if  $\phi(R) = \cap V_i$ , where each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$ , and for every nonnilpotent element  $x \in R$ ,  $\phi(x)$  is a unit in all but finitely many  $V_i$ . We show that the theories of  $\phi$ -Dedekind and  $\phi$ -Krull rings resemble those of Dedekind and Krull domains.

### 1. Introduction

Let R be a commutative ring with  $1 \neq 0$  and Nil(R) its set of nilpotent elements. Recall from [11] and [9] that a prime ideal of R is called a divided prime if  $P \subset (x)$  for every  $x \in R \setminus P$ ; thus a divided prime ideal is comparable to every ideal of R. In [2], [3], [4], [5], [6], and [7], the second-named author investigated the class of rings  $\mathcal{H} = \{R \mid R \text{ is a commutative ring and } Nil(R) \text{ is a divided prime ideal of } R\}$ . (Observe that if R is an integral domain, then  $R \in \mathcal{H}$ .) Recently, the authors [1] generalized the concept of Prüfer and Bezout domains to the context of rings that are in the class  $\mathcal{H}$ . Also, Lucas and the second-named author [8] generalized the concept of Mori domain to the context of rings that are in the class  $\mathcal{H}$ . In this paper, we give a generalization of Dedekind domains and Krull domains to the context of rings that are in the class  $\mathcal{H}$ .

We assume throughout that all rings are commutative with  $1 \neq 0$ . Let R be a ring. Then T(R) denotes the total quotient ring of R, and Z(R) denotes the set of zerodivisors of R. We start by recalling some background material. A non-zerodivisor of a ring R is called a regular element and an ideal of R is said to be regular if it contains a regular element. An ideal I of a ring R is said to be a nonnil ideal if  $I \nsubseteq Nil(R)$ . If I is a nonnil ideal of a ring  $R \in \mathcal{H}$ , then  $Nil(R) \subset I$ . In particular, this holds if I is a regular ideal of a ring  $R \in \mathcal{H}$ .

Recall from [2] that for a ring  $R \in \mathcal{H}$  with total quotient ring T(R), the map  $\phi: T(R) \longrightarrow R_{Nil(R)}$  such that  $\phi(a/b) = a/b$  for  $a \in R$  and  $b \in R \setminus Z(R)$  is a ring homomorphism from T(R) into  $R_{Nil(R)}$ , and  $\phi$  restricted to R is also a ring homomorphism from R into  $R_{Nil(R)}$  given by  $\phi(x) = x/1$  for every  $x \in R$ . Observe that if  $R \in \mathcal{H}$ , then  $\phi(R) \in \mathcal{H}$ ,  $Ker(\phi) \subseteq Nil(R)$ , Nil(T(R)) = Nil(R),  $Nil(R_{Nil(R)}) = \phi(Nil(R)) = Nil(\phi(R)) = Z(\phi(R))$ ,  $T(\phi(R)) = R_{Nil(R)}$  is quasilocal with maximal ideal  $Nil(\phi(R))$ , and  $R_{Nil(R)}/Nil(\phi(R)) = T(\phi(R))/Nil(\phi(R))$  is the quotient field of  $\phi(R)/Nil(\phi(R))$ .

Recall from [4] that a ring  $R \in \mathcal{H}$  is called a  $\phi$ -chained ring if  $x^{-1} \in \phi(R)$ for every  $x \in R_{Nil(R)} \setminus \phi(R)$ ; equivalently, if for every  $a, b \in R \setminus Nil(R)$ , either  $a \mid b$  or  $b \mid a$  in R (i.e., R/Nil(R) is a valuation domain). Let V be an overring of  $\phi(R)$  (i.e.,  $\phi(R) \subseteq V \subseteq T(\phi(R))$ ). Then observe that  $Nil(V) = Nil(\phi(R))$ and  $T(V) = T(\phi(R)) = R_{Nil(R)}$ , and hence V is a  $\phi$ -chained overring of  $\phi(R)$ if and only if  $x^{-1} \in V$  for every  $x \in R_{Nil(R)} \setminus V$ . Clearly a chained ring is also a  $\phi$ -chained ring. It was shown in [4] that for each integer  $n \geq 1$ , there is a  $\phi$ -chained ring with Krull dimension n which is not a chained ring. We say that a ring  $R \in \mathcal{H}$  is a discrete  $\phi$ -chained ring if R is a  $\phi$ -chained ring with at most one nonnil prime ideal and every nonnil ideal of R is principal. Also, recall from [6] that a ring  $R \in \mathcal{H}$  is called a nonnil-Noetherian ring if every nonnil ideal of R is finitely generated. It was shown in [6] that a ring  $R \in \mathcal{H}$ is a nonnil-Noetherian ring iff R/Nil(R) is a Noetherian domain. Recall that an ideal I of a ring R is called a divisorial ideal of R if  $(I^{-1})^{-1} = I$ , where  $I^{-1} = \{x \in T(R) \mid xI \subseteq R\}$ . If a ring R satisfies the ascending chain condition (a.c.c.) on divisorial regular ideals of R, then R is called a Mori ring in the sense of [16]. A ring  $R \in \mathcal{H}$  is called a  $\phi$ -Mori ring in the sense of [8] if  $\phi(R)$  is a Mori ring. It was shown in [8] that a ring  $R \in \mathcal{H}$  is a  $\phi$ -Mori ring iff R/Nil(R)is a Mori domain.

An integral domain R is called a *Dedekind domain* if every nonzero ideal of R is invertible, i.e., if I is a nonzero ideal of R, then  $II^{-1} = R$ . Also, recall from [12] that an integral domain R is called a *Krull domain* if  $R = \cap V_i$ , where each  $V_i$  is a discrete valuation overring of R, and every nonzero element of R

is a unit in all but finitely many  $V_i$ . Many characterizations and properties of Dedekind and Krull domains are given in [12], [13], and [15]. Let  $R \in \mathcal{H}$ . We say that a nonnil ideal I of R is  $\phi$ -invertible if  $\phi(I)$  is an invertible ideal of  $\phi(R)$ . Recall from [1] that R is called a  $\phi$ -Prüfer ring if every finitely generated nonnil ideal of R is  $\phi$ -invertible. If every nonnil ideal of R is  $\phi$ -invertible, then we say that R is a  $\phi$ -Dedekind ring. Also, we say that R is a  $\phi$ -Krull ring if  $\phi(R) = \bigcap V_i$ , where each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$ , and for every nonnilpotent element  $x \in R$ ,  $\phi(x)$  is a unit in all but finitely many  $V_i$ . We say that a ring  $R \in \mathcal{H}$  is  $\phi$ -(completely) integrally closed if  $\phi(R)$  is (completely) integrally closed in  $T(\phi(R)) = R_{Nil(R)}$ . Among many results in this paper, we show (Theorems 2.10 and 2.15) that a ring  $R \in \mathcal{H}$  is a  $\phi$ -Dedekind ring iff R is a  $\phi$ -integrally closed nonnil-Noetherian ring of dimension  $\leq 1$ , iff R is a nonnil-Noetherian ring and  $R_M$  is a discrete  $\phi$ -chained ring for each maximal ideal M of R, iff every nonnil ideal of R is a product of (nonnil) prime ideals of R. Also, we show (Theorem 3.4) that a ring  $R \in \mathcal{H}$  is a  $\phi$ -Krull ring iff R is a  $\phi$ -completely integrally closed  $\phi$ -Mori ring. We also use idealization-constructions as in [14, Chapter VI, page 161] to construct examples of  $\phi$ -Dedekind and  $\phi$ -Krull rings which are not integral domains.

## 2. On $\phi$ -Dedekind Rings

We start this section with the following proposition.

**Proposition 2.1.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Dedekind ring if and only if every nonnil ideal of  $\phi(R)$  is invertible.

PROOF. Suppose that R is  $\phi$ -Dedekind. Let J be a nonnil ideal of  $\phi(R)$ . Then it is clear that  $J = \phi(I)$  for some nonnil ideal I of R. Hence  $J = \phi(I)$  is an invertible ideal of  $\phi(R)$ . Conversely, suppose that every nonnil ideal of  $\phi(R)$  is invertible. Then it is clear that every nonnil ideal of R is  $\phi$ -invertible. Thus R is  $\phi$ -Dedekind.

We define a ring R to be a *Dedekind ring* if every regular ideal I of R is invertible. Hence Proposition 2.1 can be restated as in the following corollary.

**Corollary 2.2.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Dedekind ring if and only if  $\phi(R)$  is a Dedekind ring.

We recall the following two lemmas from [1].

**Lemma 2.3.** ([1, Lemma 2.3]) Let  $R \in \mathcal{H}$  with Nil(R) = Z(R), and let I be an ideal of R. Then I is an invertible ideal of R if and only if I/Nil(R) is an invertible ideal of R/Nil(R).

**Lemma 2.4.** ([1, Lemma 2.5]) Let  $R \in \mathcal{H}$  and let P be a prime ideal of R. Then R/P is ring-isomorphic to  $\phi(R)/\phi(P)$ .

In particular, R/Nil(R) is ring-isomorphic to  $\phi(R)/Nil(\phi(R))$ , and thus dim  $\phi(R) = \dim R$ .

**Theorem 2.5.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Dedekind ring if and only if R/Nil(R) is a Dedekind domain.

PROOF. Suppose that R is a  $\phi$ -Dedekind ring. Since  $\phi(R) \in \mathcal{H}$ ,  $Nil(\phi(R)) = Z(\phi(R))$ , and every nonnil ideal of  $\phi(R)$  is invertible, we conclude that every nonzero ideal of  $\phi(R)/Nil(\phi(R))$  is invertible by Lemma 2.3. Since  $Nil(\phi(R)) = \phi(Nil(R))$  and R/Nil(R) is ring-isomorphic to  $\phi(R)/Nil(\phi(R))$  by Lemma 2.4, we conclude that R/Nil(R) is a Dedekind domain.

Conversely, suppose that R/Nil(R) is a Dedekind domain. Hence, once again, by Lemma 2.4 we conclude that  $\phi(R)/Nil(\phi(R))$  is a Dedekind domain. Since  $\phi(R) \in \mathcal{H}$  and  $Nil(\phi(R)) = Z(\phi(R))$ , we conclude that every nonnil ideal of  $\phi(R)$  is invertible by Lemma 2.3. Hence R is a  $\phi$ -Dedekind ring by Proposition 2.1.

Marco Fontana has asked the second-named author if this type of ring can be characterized as a pullback of a Dedekind domain. In light of Theorem 2.5, we see that the answer is "yes." A similar pullback holds for  $\phi$ -Prüfer rings.

**Theorem 2.6.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Dedekind ring if and only if  $\phi(R)$  is ring-isomorphic to a ring A obtained from the following pullback diagram:

$$\begin{array}{ccc} A & \longrightarrow A/M \\ \downarrow & & \downarrow \\ T & \longrightarrow T/M \end{array}$$

where T is a zero-dimensional quasilocal ring with maximal ideal M, A/M is a Dedekind subring of T/M, the vertical arrows are the usual inclusion maps, and the horizontal arrows are the usual surjective maps.

PROOF. Suppose  $\phi(R)$  is ring-isomorphic to a ring A obtained from the given diagram. Then  $A \in \mathcal{H}$  and Nil(A) = Z(A) = M. Since A/M is a Dedekind domain, A is a  $\phi$ -Dedekind ring by Theorem 2.5, and thus R is a  $\phi$ -Dedekind ring.

Conversely, suppose that R is a  $\phi$ -Dedekind ring. Then, letting  $T = R_{Nil(R)}$ ,  $M = Nil(R_{Nil(R)})$ , and  $A = \phi(R)$  yields the desired pullback diagram.

Our non-domain examples of  $\phi$ -Dedekind rings are provided by the idealization construction R(+)B arising from a ring R and an R-module B as in [14, Chapter VI]. We recall this construction. Let  $R(+)B = R \times B$ , and define:

- (1) (r,b) + (s,c) = (r+s,b+c).
- (2) (r,b)(s,c) = (rs,sb+rc).

Under these definitions, R(+)B becomes a commutative ring with identity.

**Example 2.7.** Let D be a Dedekind domain with quotient field K, and let L be an extension ring of K. Set R = D(+)L. Then  $R \in \mathcal{H}$  and R is a  $\phi$ -Dedekind ring which is not a Dedekind domain.

PROOF. First,  $Nil(R) = \{0\}(+)L$  is a divided prime ideal of R. For let  $(0, y) \in Nil(R)$  and  $(a, x) \in R \setminus Nil(R)$ ; then (0, y) = (a, x)(0, y/a). Thus  $R \in \mathcal{H}$ . Since R/Nil(R) is ring-isomorphic to D, we conclude that R is a  $\phi$ -Dedekind ring by Theorem 2.5.

**Remark 1.** Let D be an integral domain and M a D-module. Then R = D(+)M has  $Nil(R) = \{0\}(+)M$ , and Nil(R) is a prime ideal of R. It is easily verified that Nil(R) is a divided prime ideal of R if and only if M is divisible as a D-module. Moreover, Nil(R) is a divided prime ideal and Nil(R) = Z(R) if and only if M is torsionfree and divisible as a D-module.

For a ring R, let R' denote the integral closure of R in T(R), and let c(R) denote the complete integral closure of R in T(R). Recall that a ring  $R \in \mathcal{H}$  is called  $\phi$ -(completely) integrally closed if  $\phi(R)$  is (completely) integrally closed in  $T(\phi(R)) = R_{Nil(R)}$ .

**Lemma 2.8.** Let  $R \in \mathcal{H}$  and set  $D = \phi(R)/Nil(\phi(R))$ . Then one has that  $D' = \phi(R)'/Nil(\phi(R))$  and  $c(D) = c(\phi(R))/Nil(\phi(R))$ . In particular, R is  $\phi$ -(completely) integrally closed if and only if D is (completely) integrally closed, if and only if R/Nil(R) is (completely) integrally closed.

PROOF. The proof relies on the following three facts: 1)  $Nil(\phi(R))$  is a divided prime ideal of  $\phi(R)$ , 2)  $T(D) = T(\phi(R))/Nil(\phi(R)) = R_{Nil(R)}/Nil(\phi(R))$ , and 3) D is ring-isomorphic to R/Nil(R). We leave the details of the proof to the reader.

Recall from [6] that a ring  $R \in \mathcal{H}$  is called a nonnil-Noetherian ring if every nonnil ideal of R is finitely generated. It was shown [6, Theorem 2.2] that a

ring  $R \in \mathcal{H}$  is a nonnil-Noetherian ring if and only if R/Nil(R) is a Noetherian domain. We recall that a ring  $R \in \mathcal{H}$  is called a discrete  $\phi$ -chained ring if R is a  $\phi$ -chained ring with at most one nonnil prime ideal and every nonnil ideal of R is principal.

We leave the proof of the following lemma to the reader.

**Lemma 2.9.** Let  $R \in \mathcal{H}$ . Then R is a discrete  $\phi$ -chained ring if and only if R/Nil(R) is a discrete valuation domain.

The following characterization of  $\phi$ -Dedekind rings resembles that of Dedekind domains as in [15, Theorem 96].

**Theorem 2.10.** Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:

- (1) R is  $\phi$ -Dedekind;
- (2) R is nonnil-Noetherian,  $\phi$ -integrally closed, and of dimension  $\leq 1$ ;
- (3) R is nonnil-Noetherian and  $R_M$  is a discrete  $\phi$ -chained ring for each maximal ideal M of R.

PROOF. Let D = R/Nil(R). Observe that each maximal ideal of D is of the form M/Nil(R) for some maximal ideal M of R,  $R_M \in \mathcal{H}$  for each maximal ideal M of R,  $Nil(R_M) = Nil(R)_M$ , and  $D_{M/Nil(R)} = R_M/Nil(R_M)$  for each maximal ideal M of R.

- (1)  $\Longrightarrow$  (2). Since D is a Dedekind domain by Theorem 2.5, we conclude that D is Noetherian, integrally closed, and of dimension  $\leq 1$  by [15, Theorem 96]. Hence R is nonnil-Noetherian by [6, Theorem 2.2],  $\phi$ -integrally closed by Lemma 2.8, and it is clear that R has dimension  $\leq 1$ .
- (2)  $\Longrightarrow$  (3). Since R is nonnil-Noetherian,  $\phi$ -integrally closed, and of dimension  $\leq 1$ , we conclude that D is Noetherian by [6, Theorem 2.2], integrally closed by Lemma 2.8, and of dimension  $\leq 1$ . Thus D is Noetherian and  $D_{M/Nil(R)} = R_M/Nil(R_M)$  is a discrete valuation domain for each maximal ideal M of R by [15, Theorem 96]. Thus R is nonnil-Noetherian and  $R_M$  is a discrete  $\phi$ -chained ring for each maximal ideal M of R by Lemma 2.9.
- (3)  $\Longrightarrow$  (1). Since R is nonnil-Noetherian, we conclude that D is Noetherian (again) by [6, Theorem 2.2]. Let M be a maximal ideal of R. Since  $R_M$  is a discrete  $\phi$ -chained ring,  $D_{M/Nil(R)} = R_M/Nil(R_M)$  is a discrete valuation domain by Lemma 2.9. Thus D is a Dedekind domain by [15, Theorem 96], and hence R is  $\phi$ -Dedekind by Theorem 2.5.

Recall that a ring  $R \in \mathcal{H}$  is called a  $\phi$ -Prüfer ring if every finitely generated nonnil ideal of R is  $\phi$ -invertible. Also, recall from [14] that a ring R is called a

 $Pr\ddot{u}fer\ ring$  if every finitely generated regular ideal of  $\ R$  is invertible. Hence we have the following two results.

**Proposition 2.11.** Let  $R \in \mathcal{H}$  be a nonnil-Noetherian ring. Then R is a  $\phi$ -Dedekind ring if and only if R is a  $\phi$ -Prüfer ring.

**Theorem 2.12.** Let  $R \in \mathcal{H}$  be a  $\phi$ -Dedekind ring. Then R is a Dedekind ring.

PROOF. Since R is a nonnil-Noetherian ring by Theorem 2.10, we conclude that R is a  $\phi$ -Prüfer ring by Proposition 2.11. Hence R is a Prüfer ring by [1, Theorem 2.14]. Since R is a nonnil-Noetherian Prüfer ring, we conclude that R is a Dedekind ring (i.e., every regular ideal of R is invertible).

The following is an example of a ring  $R \in \mathcal{H}$  which is a Dedekind ring but not a  $\phi$ -Dedekind ring.

**Example 2.13.** Let D be a non-Dedekind domain with (proper) quotient field K. Set R = D(+)K/D. Then  $R \in \mathcal{H}$  and R = T(R). Hence R is a Dedekind ring. Since R/Nil(R) is ring-isomorphic to D, R is not a  $\phi$ -Dedekind ring by Theorem 2.5.

In light of Corollary 2.2 and Theorem 2.12, we have the following result; we omit its proof.

**Theorem 2.14.** Let  $R \in \mathcal{H}$  such that Nil(R) = Z(R). Then R is a Dedekind ring if and only if R is a  $\phi$ -Dedekind ring.

It is well-known that an integral domain R is a Dedekind domain iff every nonzero proper ideal of R is (uniquely) a product of prime ideals of R. We have the following result.

**Theorem 2.15.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Dedekind ring if and only if every nonnil proper ideal of R is (uniquely) a product of nonnil prime ideals of R.

PROOF. Suppose that R is  $\phi$ -Dedekind. Then D=R/Nil(R) is a Dedekind domain by Theorem 2.5. Let I be a nonnil proper ideal of R. Since D is a Dedekind domain,  $I/Nil(R) = (P_1/Nil(R))(P_2/Nil(R))\cdots(P_n/Nil(R))$  for some nonnil prime ideals  $P_1,\ldots,P_n$  of R. Let  $Q=P_1P_2\cdots P_n$ . We claim that I=Q. This follows since  $Nil(R)\subset Q$  because  $Nil(R)\subset P_i$  for each i and Nil(R) is a divided prime ideal of R. For the uniqueness, just observe that  $P_1/Nil(R)=P_2/Nil(R)$  in D for prime ideals  $P_1$  and  $P_2$  of R if and only if  $P_1=P_2$ .

Conversely, if each nonnil proper ideal of R is a product of nonnil prime ideals of R, then each proper nonzero ideal of D is a product of prime ideals of D. Thus D is a Dedekind domain, and hence R is a  $\phi$ -Dedekind ring by Theorem 2.5.

Recently, Brewer and Heinzer [10, Theorem 9] gave the following characterization of Dedekind domains.

**Theorem** ([10, Theorem 9]). Let R be an integral domain. Then the following statements are equivalent:

- (1) R is a Dedekind domain;
- (2) Each nonzero proper principal ideal aR can be written in the form  $aR = Q_1Q_2\cdots Q_n$ , where each  $Q_i$  is a power of a prime ideal of R and the  $Q_i$ 's are pairwise comaximal;
- (3) Each nonzero proper ideal I of R can be written in the form  $I = Q_1Q_2\cdots Q_n$ , where each  $Q_i$  is a power of a prime ideal of R and the  $Q_i$ 's are pairwise comaximal.

For a ring  $R \in \mathcal{H}$ , we have the following analog of the above theorem; we omit its proof.

**Theorem 2.16.** Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:

- (1) R is a  $\phi$ -Dedekind ring;
- (2) Each nonnil proper principal ideal aR can be written in the form  $aR = Q_1Q_2\cdots Q_n$ , where each  $Q_i$  is a power of a nonnil prime ideal of R and the  $Q_i$ 's are pairwise comaximal;
- (3) Each nonnil proper ideal I of R can be written in the form  $I = Q_1Q_2\cdots Q_n$ , where each  $Q_i$  is a power of a nonnil prime ideal of R and the  $Q_i$ 's are pairwise comaximal.

Recall from [13] that a ring R is called a ZPI-ring if every nonzero proper ideal of R is uniquely a product of prime ideals of R, and R is called a general ZPI-ring if every nonzero proper ideal of R is a product of prime ideals of R. We say that a ring  $R \in \mathcal{H}$  is a nonnil-ZPI-ring if every nonnil proper ideal of R is uniquely a product of (nonnil) prime ideals of R, and we say that R is a general nonnil-ZPI-ring if every nonnil proper ideal of R is a product of (nonnil) prime ideals of R. In view of Theorem 2.15, we have the following result.

Corollary 2.17. Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:

(1) R is a  $\phi$ -Dedekind ring;

- (2) R is a nonnil-ZPI-ring;
- (3) R is a general nonnil-ZPI-ring.

**Theorem 2.18.** Let  $R \in \mathcal{H}$  be a  $\phi$ -Dedekind ring and let I be an ideal of R. Then:

- (1) If  $I \subseteq Nil(R)$ , then R/I is a  $\phi$ -Dedekind ring.
- (2) If I is a nonnil ideal of R, then R/I is a general ZPI-ring.
- PROOF. (1). Suppose that  $I \subset Nil(R)$ , and set A = R/I. Then Nil(A) = Nil(R)/I is a divided prime ideal of A. Hence  $A \in \mathcal{H}$ . Since A/Nil(A) is ring-isomorphic to D = R/Nil(R) and D is a Dedekind domain, we conclude that A = R/I is a  $\phi$ -Dedekind ring.
- (2). Suppose that I is a nonnil ideal of R. Since J = I/Nil(R) is a nonzero proper ideal of the Dedekind domain D = R/Nil(R), we conclude that D/J is a general ZPI-ring by [13, Chapter 39, page 469]. Since D/J is ring-isomorphic to R/I, we conclude that R/I is a general ZPI-ring.

The following characterization of  $\phi$ -Dedekind domains resembles that of general ZPI-rings as in [13, Theorem 39.2, page 470].

**Theorem 2.19.** Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:

- (1) R is a  $\phi$ -Dedekind ring;
- (2) R is a nonnil-Noetherian ring and there are no ideals properly between M and  $M^2$  for each nonnil maximal ideal M of R.

PROOF. Set D = R/Nil(R).

- $(1) \Longrightarrow (2)$ . Since D is a Dedekind domain (general ZPI-ring) by Theorem 2.5, we conclude that D is a Noetherian domain and there are no ideals properly between J and  $J^2$  for each maximal ideal J of D by [13, Theorem 39.2, page 470]. Hence R is a nonnil-Noetherian ring by [6, Theorem 2.2], and it is clear that there are no ideals properly between M and  $M^2$  for each nonnil maximal ideal M of R.
- (2)  $\Longrightarrow$  (1). Since D is Noetherian by [6, Theorem 2.2] and there are no ideals properly between J and  $J^2$  for each maximal ideal J of D, D is a Dedekind domain by [13, Theorem 39.2, page 470]. Hence R is a  $\phi$ -Dedekind ring by Theorem 2.5.

It is well-known [15, Problems 11 and 12, page 73] that an integral domain R is a Dedekind domain iff every nonzero prime ideal of R is invertible, iff R is Noetherian and every nonzero maximal ideal of R is invertible. Hence, in light of

Theorem 2.5 and [15, Problems 11 and 12, page 73], we have the following result which will not be proved here.

**Theorem 2.20.** Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:

- (1) R is a  $\phi$ -Dedekind ring;
- (2) Each nonnil prime ideal of R is  $\phi$ -invertible;
- (3) R is a nonnil-Noetherian ring and each nonnil maximal ideal of R is  $\phi$ -invertible.

It is well-known [13, Problem 4, page 475] that a principal ideal ring is a general ZPI-ring. We call a ring  $R \in \mathcal{H}$  a nonnil-principal ideal ring if every nonnil ideal of R is principal. It is easy to prove the following result.

**Theorem 2.21.** Let  $R \in \mathcal{H}$ . Then R is a nonnil-principal ideal ring if and only if R/Nil(R) is a principal ideal domain.

**Theorem 2.22.** Let  $R \in \mathcal{H}$  be a nonnil-principal ideal ring. Then R is a  $\phi$ -Dedekind ring.

PROOF. Set D = R/Nil(R). Then D is a principal ideal domain by Theorem 2.21. Hence D is a Dedekind domain, and thus R is a  $\phi$ -Dedekind ring by Theorem 2.5.

Recall that a ring B is called an overring of a ring R if  $R \subseteq B \subseteq T(R)$ . It is well-known [13, Theorem 40.1, page 477] that an overring of a Dedekind domain is a Dedekind domain. We end this section with the following result.

**Theorem 2.23.** Let  $R \in \mathcal{H}$  be a  $\phi$ -Dedekind ring. Then every overring of R is a  $\phi$ -Dedekind ring.

PROOF. Let S be an overring of R. Then  $S \in \mathcal{H}$ , Nil(S) = Nil(R), and S/Nil(R) is an overring of R/Nil(R). Since D is a Dedekind domain and S/Nil(R) is an overring of R/Nil(R), we conclude that S/Nil(R) is a Dedekind domain by [13, Theorem 40.1, page 477]. Hence S is a  $\phi$ -Dedekind ring by Theorem 2.5.

## 3. On $\phi$ -Krull Rings

Recall that a ring  $R \in \mathcal{H}$  is said to be a  $\phi$ -Krull ring if  $\phi(R) = \cap V_i$ , where each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$ , and for every nonnilpotent element  $x \in R$ ,  $\phi(x)$  is a unit in all but finitely many  $V_i$ . We begin this section with the Krull domain analog of Theorem 2.5, Theorem 2.6, Lemma 2.9, and Theorem 2.21.

**Theorem 3.1.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Krull ring if and only if R/Nil(R) is a Krull domain.

PROOF. Suppose that R is a  $\phi$ -Krull ring. Then  $\phi(R) = \cap V_i$ , where each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$ , and for every nonnilpotent element  $x \in R$ ,  $\phi(x)$  is a unit in all but finitely many  $V_i$ . Since each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$  and  $T(\phi(R)/Nil(\phi(R)) = T(\phi(R))/Nil(\phi(R)) = R_{Nil(R)}/Nil(\phi(R))$ , we conclude that each  $V_i/Nil(\phi(R))$  is a discrete valuation overring of  $\phi(R)/Nil(\phi(R))$  by Lemma 2.9. Hence  $\phi(R)/Nil(\phi(R)) = \bigcap_i V_i/Nil(\phi(R))$  and every nonzero element of  $\phi(R)/Nil(\phi(R))$  is a unit in all but finitely many  $V_i/Nil(\phi(R))$ . Thus  $\phi(R)/Nil(\phi(R))$  is a Krull domain. Since  $\phi(R)/Nil(\phi(R))$  is ring-isomorphic to R/Nil(R) by Lemma 2.4, R/Nil(R) is a Krull domain.

Conversely, suppose that R/Nil(R) is a Krull domain. Since R/Nil(R) is ring-isomorphic to  $\phi(R)/Nil(\phi(R))$  by Lemma 2.4, we can conclude that  $\phi(R)/Nil(\phi(R))$  is a Krull domain. Since a ring  $A \in \mathcal{H}$  is a discrete  $\phi$ -chained ring if and only if A/Nil(A) is a discrete valuation ring by Lemma 2.4 and  $T(\phi(R)/Nil(\phi(R)) = T(\phi(R))/Nil(\phi(R)) = R_{Nil(R)}/Nil(\phi(R))$ , we conclude that  $\phi(R)/Nil(\phi(R)) = \cap V_i/Nil(\phi(R))$ , where each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$ . Hence  $\phi(R) = \cap V_i$ . Since for every nonnilpotent element  $x \in R$ ,  $\phi(x) + Nil(\phi(R))$  is a unit in all but finitely many  $V_i/Nil(\phi(R))$ , we conclude that  $\phi(x)$  is a unit in all but finitely many  $V_i$ . Hence R is a  $\phi$ -Krull ring.

We have the following pullback characterization of  $\phi$ -Krull rings.

**Theorem 3.2.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Krull ring if and only if  $\phi(R)$  is ring-isomorphic to a ring A obtained from the following pullback diagram:

$$\begin{array}{ccc} A & \longrightarrow A/M \\ \downarrow & & \downarrow \\ T & \longrightarrow T/M \end{array}$$

where T is a zero-dimensional quasilocal ring with maximal ideal M, A/M is a Krull subring of T/M, the vertical arrows are the usual inclusion maps, and the horizontal arrows are the usual surjective maps.

PROOF. Suppose  $\phi(R)$  is ring-isomorphic to a ring A obtained from the given diagram. Then  $A \in \mathcal{H}$  and Nil(A) = Z(A) = M. Since A/M is a Krull domain, A is a  $\phi$ -Krull ring by Theorem 3.1, and thus R is a  $\phi$ -Krull ring.

Conversely, suppose that R is a  $\phi$ -Krull ring. Then, letting  $T = R_{Nil(R)}$ ,  $M = Nil(R_{Nil(R)})$ , and  $A = \phi(R)$  yields the desired pullback diagram.

**Example 3.3.** Let D be a Krull domain with quotient field K, and let L be a ring extension of K. Set R = D(+)L. Then  $R \in \mathcal{H}$  and R is a  $\phi$ -Krull ring which is not a Krull domain.

PROOF. As in Example 2.7,  $Nil(R) = \{0\}(+)L$  is a divided prime ideal of R. Thus  $R \in \mathcal{H}$ . Since R/Nil(R) is ring-isomorphic to D, we conclude that R is a  $\phi$ -Krull ring by Theorem 3.1.

It is well-known [12, Theorem 3.6] that an integral domain R is a Krull domain if and only if R is a completely integrally closed Mori domain. We have a similar characterization for  $\phi$ -Krull rings.

**Theorem 3.4.** Let  $R \in \mathcal{H}$ . Then R is a  $\phi$ -Krull ring if and only if R is a  $\phi$ -completely integrally closed  $\phi$ -Mori ring.

PROOF. Set D = R/Nil(R). Suppose that R is a  $\phi$ -Krull ring. Then D is a Krull domain by Theorem 3.1. Hence D is a completely integrally closed Mori domain. Thus R is a  $\phi$ -completely integrally closed  $\phi$ -Mori ring by Lemma 2.8 and [8], respectively.

Conversely, suppose that R is a  $\phi$ -completely integrally closed  $\phi$ -Mori ring. Then D is a completely integrally closed Mori domain by Lemma 2.9 and [8]. Hence D is a Krull domain, and thus R is a  $\phi$ -Krull ring by Theorem 3.1.  $\square$ 

It is known [13, Theorem 43.16, page 536] that a Krull domain R which is not a field is a Prüfer domain iff R is a Dedekind domain, iff R is one-dimensional. We have the following analogous result for  $\phi$ -Krull rings.

**Theorem 3.5.** Let  $R \in \mathcal{H}$  be a  $\phi$ -Krull ring which is not zero-dimensional. Then the following statements are equivalent:

- (1) R is a  $\phi$ -Prüfer ring;
- (2) R is a  $\phi$ -Dedekind ring;
- (3) R is one-dimensional.

PROOF. Set D = R/Nil(R). Then D is a Krull domain by Theorem 3.1, and it is clear that D is not a field.

- (1)  $\Longrightarrow$  (2). Since D is a Prüfer domain by [1, Theorem 2.6], D is a Dedekind domain by [13, Theorem 43.16, page 536], and hence R is a  $\phi$ -Dedekind ring by Theorem 2.5.
- (2)  $\Longrightarrow$  (3). Since D is a Dedekind domain by Theorem 2.5, we conclude that D is one-dimensional by [13, Theorem 43.16, page 536], and thus R is one-dimensional.

(3)  $\Longrightarrow$  (1). Since D is one-dimensional, D is a Prüfer domain again by [13, Theorem 43.16, page 536], and hence R is a  $\phi$ -Prüfer ring by [1, Theorem 2.6].

It is well-known that if R is a Noetherian domain, then R' is a Krull domain. In particular, an integrally closed Noetherian domain is a Krull domain. We have the following analogous result for nonnil-Noetherian rings.

**Theorem 3.6.** Let  $R \in \mathcal{H}$  be a nonnil-Noetherian ring. Then  $\phi(R)'$  is a  $\phi$ -Krull ring. In particular, if R is a  $\phi$ -integrally closed nonnil-Noetherian ring, then R is a  $\phi$ -Krull ring.

PROOF. Set  $D = \phi(R)/Nil(\phi(R))$ . Since R/Nil(R) is a Noetherian domain by [6, Theorem 2.2] and R/Nil(R) is ring-isomorphic to D by Lemma 2.4, we conclude that D is a Noetherian domain. Since  $D' = \phi(R)'/Nil(\phi(R))$  by Lemma 2.8 and D' is a Krull domain, we conclude that  $\phi(R)'$  is a  $\phi$ -Krull ring by Theorem 3.1. The "in particular" statement is now clear.

It is known [15, Problem 8, page 83] that if R is a Krull domain in which all prime ideals of height  $\geq 2$  are finitely generated, then R is a Noetherian domain. We have the following analogous result for nonnil-Noetherian rings.

**Theorem 3.7.** Let  $R \in \mathcal{H}$  be a  $\phi$ -Krull ring in which all prime ideals of R with height  $\geq 2$  are finitely generated. Then R is a nonnil-Noetherian ring.

PROOF. Since R/Nil(R) is a Krull domain in which all prime ideals of height  $\geq 2$  are finitely generated, we conclude that R/Nil(R) is a Noetherian domain by [15, Problem 8, page 83]. Hence R is a nonnil-Noetherian ring by [6, Theorem 2.2].

For a ring  $R \in \mathcal{H}$ , let  $\phi_R$  denotes the ring-homomorphism  $\phi: T(R) \longrightarrow R_{Nil(R)}$ . We have the following lemma.

**Lemma 3.8.** Let  $R \in \mathcal{H}$  and let P be a nonnil prime ideal of R. Then  $\phi_{R_P}(R_P) = \phi_R(R)_{\phi_R(P)}$  is an overring of  $\phi_R(R)$ .

PROOF. Since  $(R_P)_{Nil(R_P)} = R_{Nil(R)} = T(\phi_R(R))$ , we conclude that  $\phi_{R_P}(R_P) \subseteq R_{Nil(R)} = T(\phi_R(R))$ . Let  $y \in R$ . Then  $y/1 \in R_P$ , and hence  $\phi_{R_P}(y/1) = \phi_R(y)$ . Also, suppose that  $y \in R \setminus P$ . Then  $\phi_{R_P}(y/y) = \phi_{R_P}(1/y)\phi_{R_P}(y/1) = \phi_{R_P}(1/y)\phi_R(y) = 1$ , and thus  $\phi_{R_P}(1/y) = 1/\phi_R(y)$ . Hence let  $x = a/b \in R_P$  for some  $a \in R$  and  $b \in R \setminus P$ . Then  $\phi_{R_P}(a/b) = \phi_R(a)/\phi_R(b)$ , and thus  $\phi_{R_P}(R_P) \subseteq \phi_R(R)_{\phi_R(P)}$ . Conversely, suppose that  $x \in \phi_R(R)_{\phi_R(P)}$ . Then

$$x = \phi_R(a)/\phi_R(b)$$
 for some  $a \in R$  and  $b \in R \setminus P$ . Hence  $x = \phi_R(a)/\phi_R(b) = \phi_{R_P}(a/b) \in \phi_{R_P}(R_P)$ , and thus  $\phi_R(R)_{\phi_R(P)} \subseteq \phi_{R_P}(R_P)$ .

It is well-known [12, Proposition 1.9, page 8] that an integral domain R is a Krull domain if and only if R satisfies the following three conditions:

- (1)  $R_P$  is a discrete valuation domain for every height-one prime ideal P of R:
- (2)  $R = \cap R_P$ , the intersection being taken over all height-one prime ideals P of R;
- (3) Each nonzero element of R is in only a finite number of height-one prime ideals of R, i.e., each nonzero element of R is a unit in all but finitely many  $R_P$ , where P is a height-one prime ideal of R.

We have the following result which is an analog of [12, Proposition 1.9, page 8].

**Theorem 3.9.** Let  $R \in \mathcal{H}$  with dim  $R \geq 1$ . Then R is a  $\phi$ -Krull ring if and only if R satisfies the following three conditions:

- R<sub>P</sub> is a discrete φ-chained ring for every height-one prime ideal P of R;
- (2)  $\phi_R(R) = \cap \phi_{R_P}(R_P)$ , the intersection being taken over all height-one prime ideals P of R;
- (3) Each nonnilpotent element of R lies in only a finite number of heightone prime ideals of R, i.e., each nonnilpotent element of R is a unit in
  all but finitely many  $R_P$ , where P is a height-one prime ideal of R.

PROOF. First observe that  $Nil(\phi_{R_P}(R_P)) = Nil(\phi_R(R))$ . Suppose that R is a  $\phi$ -Krull ring. Set D = R/Nil(R), and let P be a height-one prime ideal of R. Since D is a Krull domain by Theorem 3.1,  $D_{P/Nil(R)}$  is a discrete valuation domain. Since  $D_{P/Nil(R)}$  is ring-isomorphic to  $R_P/Nil(R_P)$ , we conclude that  $R_P$  is a discrete  $\phi$ -chained ring by Lemma 2.9. Since  $R_P/Nil(R_P)$  is ring-isomorphic to  $\phi_{R_P}(R_P)/Nil(\phi_{R_P}(R_P))$ , we conclude that  $\phi_{R_P}(R_P)$  is a discrete  $\phi$ -chained ring by Lemma 2.9. Hence  $\phi_R(R)_{\phi_R(P)}$  is a discrete  $\phi$ -chained ring by Lemma 3.8. Now, set  $F = \phi_R(R)/Nil(\phi_R(R))$ . Since D is a Krull domain by Theorem 3.1 and D is ring-isomorphic to F by Lemma 2.4, we conclude that F is a Krull domain. Hence  $F = \phi_R(R)/Nil(\phi_R(R)) = \bigcap_{P} \phi_R(R)/Nil(\phi_R(R))$ , the intersection being taken over all height-one prime ideals P of R. Thus it is easily verified that  $\phi_R(R) = \bigcap_{P} \phi_{R_P}(R_P)$ , the intersection being taken over all height-one prime ideals P of R. Since for each

nonnilpotent element x of R,  $\phi_R(x) + Nil(\phi_R(R))$  lies in only a finite number of height-one prime ideals of F, we conclude that each nonnilpotent element of R lies in only a finite number of height-one prime ideals of R.

The converse is clear by the definition of  $\phi$ -Krull rings.

Recall that a ring R is called a *Marot ring* if each regular ideal of R is generated by its set of regular elements. A Marot ring is called a *Krull ring* in the sense of [14, page 37] if either R = T(R) or if there exists a family  $\{V_i\}$  of discrete rank one valuation rings such that:

- (1) R is the intersection of the valuation rings  $\{V_i\}$ .
- (2) Each regular element of T(R) is a unit in all but finitely many  $V_i$ .

The following is an example of a discrete  $\phi$ -chained ring which is not a discrete rank one valuation ring in the sense of [14].

**Example 3.10.** Let D be a discrete valuation domain with maximal ideal M and quotient field K. Set R = D(+)K/D. Then  $R \in \mathcal{H}$  and R = T(R). Hence R is not a discrete rank one valuation by [14, Lemma 8.1(1), page 37]. Since R/Nil(R) is ring-isomorphic to D, R is a discrete  $\phi$ -chained ring by Lemma 2.9.

Observe that the ring R in the above example is a Krull ring since R = T(R). We have the following result which is the  $\phi$ -Krull analog of Theorem 2.14.

**Theorem 3.11.** Let  $R \in \mathcal{H}$  such that Nil(R) = Z(R). Then R is a Krull ring if and only if R is a  $\phi$ -Krull ring.

PROOF. Since Z(R) is a prime ideal of R, R is a Marot ring by [14, Theorem 7.2, page 32]. It is easily verified that for each nonnil prime ideal P of R,  $R_P$  is a discrete rank one valuation ring if and only if  $R_P$  is a discrete  $\phi$ -chained ring. Hence the claim is now clear by Theorem 3.9.

The following is an example of a ring  $R \in \mathcal{H}$  which is a Krull ring but not a  $\phi$ -Krull ring.

**Example 3.12.** Let D be a non-Krull domain with (proper) quotient field K. Set R = D(+)K/D. Then  $R \in \mathcal{H}$  and R = T(R). Hence R is a Krull ring. Since R/Nil(R) is ring-isomorphic to D, R is not a  $\phi$ -Krull ring by Theorem 3.1.

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